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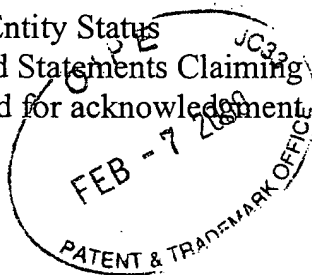
**REC'D IN THE U.S. PATENT AND TRADEMARK OFFICE**

Applicant(s): Yasmin Thanavala, et al.

Serial No: 09/420,695

Filed: October 19, 1999

- (1) Submission of Verified Statements Claiming Small Entity Status
- (2) Verified Statements Claiming Small Entity Status
- (1) Postcard for acknowledgment



RPP:156A US

REC'D IN THE U.S. PATENT AND TRADEMARK OFFICE


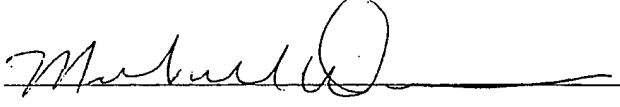
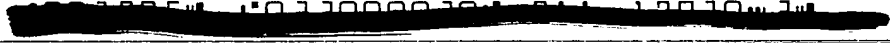
Applicant(s): Yasmin Thanavala, et al.

Serial No: 09/420,695

Filed: October 19, 1999

- (1) Patent Recordation Form Cover Sheet
- (1) Assignment for Recordal
- (1) Postcard for acknowledgment
- (1) Check in the amount of \$40.00

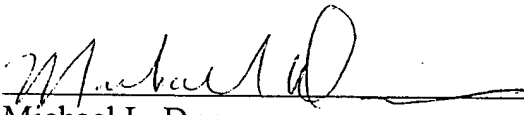
RPP:156A US

<b>DUNN AND ASSOCIATES</b> P. O. BOX 96 NEWFANE, NY 14108		3795  10-2/220
DATE <u>February 4, 2000</u>		
PAY TO THE ORDER OF	<u>The Commissioner for Patents and Trademarks</u>	\$ 40.00
Forty and 00/100 ***** DOLLARS		<input type="checkbox"/> Security features included. Details on back.
<b>HSBC</b>  HSBC Bank USA Wilson, NY 14172		
FOR <u>Assgn. for Recordal USSN 420,695 RPP:156A</u>		MP
		

Docket No. RPP:156A US

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL**

I certify that this Assignment for recordal is being deposited on February 4, 2000, with the U.S. Postal Service as first class mail addressed to the Commissioner of Patents & Trademarks, Box Assignments, Washington, D.C. 20231.

  
\_\_\_\_\_  
Michael L. Dunn  
Registration No. 25,330

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Yasmin Thanavala, et al.

Art Unit: 1651

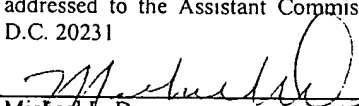
Serial No: 09/420,695

Filed: October 19, 1999

Examiner: Unknown

For: ORAL IMMUNOLOGY  
USING PLANT PRODUCT  
CONTAINING HEPATITIS  
SURFACE ANTIGEN

I certify that this Submission of Statements is being deposited on  
February 4, 2000 with the U.S. Postal Service as first class mail  
addressed to the Assistant Commissioner for Patents, Washington,  
D.C. 20231

  
Michael L. Dunn

Registration No. 25,330

**SUBMISSION OF VERIFIED STATEMENTS CLAIMING SMALL ENTITY STATUS**  
**(37 C.F.R. 1.9(f) and 1.27(b) and (d))**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Applicants hereby submit two Verified Statements Claiming Small Entity Status (37 C.F.R. 1.9(f) and 1.27(b) and (d)).

The Commissioner is hereby authorized to charge any additional fees which may be due with respect to the accompanying papers, including those which may be due under 37 C.F.R. 1.16, 1.17, 1.18 and 1.20, or credit any overpayment to Deposit Account No. 04-1790. A duplicate copy of this sheet is enclosed.

Dated: February 4, 2000

MLD/cah  
Enclosures  
cc: M. DeLellis

Respectfully submitted,



Michael L. Dunn

Attorney for Applicant(s)

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